

Form PTO 1449 U.S. Dept of Commerce  
Rev 7-80 Patent & Trademark Office

Atty. Docket No. : Serial No.

STL000020US1 : 09/489,143

Applicant(s)

William J. Baer, et. al.

File Date : Group

January 21, 2000 : Unknown

LIST OF PRIOR ART CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAM.:	DOCUMENT	CLASS/
INTL.:	NUMBER	SUBCLASS
PJS	:AA: 3964029 06/15/76 Babb	340/172.5
	:AB: 4823306 04/18/89 Barbic, et al	364/900
	:AC: 5251315 10/05/93 Wang	395/600
	:AD: 5274757 12/28/93 Miyoshi, et al.	395/146
	:AE: 5297039 03/22/94 Kanaegami, et al.	364/419.13
	:AF: 5377348 12/27/94 Lau, et al.	395/600
	:AG: 5388196 02/07/95 Pajak, et al.	395/153
	:AH: 5579471 11/26/96 Barber et al	395/326
	:AI: 5680619 10/21/97 Gudmundson, et al.	395/701
	:AJ: 5778398 07/07/98 Nagashima, et al.	707/501
	:AK: 5781732 07/14/98 Adams	395/200.35
	:AL: 5787413 07/28/98 Kauffman et al.	707/2
	:AM: 5806061 09/08/98 Chaudhuri, et al.	707/3

## FOREIGN COUNTRIES

:	DOC. NO.	DATE	COUNTRY	CLASS/	TRANSLATION
:	:	:	:	SUBCLASS	YES : NO
PJS	:BA: JP63-286931	11/24/88	JAPAN (ABSTRACT, only included)		
PJS	:BB: WO9932982	07/01/99	PCT		

## OTHER PRIOR ART (INCLUDE. AUTHOR, TITLE, DATE, PERTINENT PG., ETC.)

PJS :CA:IBM Digital Library "Application Programming Reference", Version  
: : 2", Second Edition (September 1997) pp.1147-1257.

PJS :CB:IBM Digital Library "Guide to Object-Oriented and Internet  
: : Application Programming", Version 2", Second Edition (September  
: : 1997), pp. 1-169.

PJS :CC:IBM Digital Library "Text Search Using TextMiner Application  
: : Programming Reference" First Edition, September 1997. pp. 1-246.

EXAMINER

*Peter J. Smith*

DATE CONSIDERED

1/6/2004

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EXAM.:	: DOCUMENT				CLASS/
INTL.:	: NUMBER	DATE	NAME		SUBCLASS
p35	:AN: 5848404	12/08/98	Hafner, et al.		707/3
	:AO: 5848409	12/08/98	Ahn		707/3
	:AP: 5857203	01/05/99	Kauffman		707/200
	:AQ: 5890147	03/30/99	Peltonen, et al.		707/1
	:AR: 5956715	09/21/99	Glasser et al.		707/9
	:AS: 5991756	11/23/99	Wu		707/3

# FOREIGN COUNTRIES

:	:	DOC. NO.	:	DATE	:	COUNTRY	:	CLASS/	:	TRANSLATION
:	:	:	:	:	:	:	:	SUBCLASS	:	YES : NO

OTHER PRIOR ART (INCLUDE. AUTHOR, TITLE, DATE, PERTINENT PG., ETC.)

EXAMINER

*Peter J. Smith*

DATE CONSIDERED

*1/6/04*

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Application Number	09/489,143
Confirmation Number	5414
Filing Date	January 21, 2000
First Named Inventor	William J. BAER
Art Unit	2176
Examiner Name	(not yet assigned)
Attorney Docket Number	A8521

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	3
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
PJS  <					

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
PJS		BarnesandNoble.com, Entertainment We Recommend, Copyright 1999, Pages 1-2, < <a href="http://web.archive.org/web/19991122052147/www.barnesandnoble.com/subjects/entert/entert.asp?pcount=0">http://web.archive.org/web/19991122052147/www.barnesandnoble.com/subjects/entert/entert.asp?pcount=0</a> >	
		The New York Times Company, The New York Times on the Web, Copyright 1999, Pages 1-3, < <a href="http://web.archive.org/web/19990208010553/http://times.com/">http://web.archive.org/web/19990208010553/http://times.com/</a> >	
		Dimick, Classical and Flamenco Guitar MIDIs, Copyright 1998, Pages 1-2, < <a href="http://web.archive.org/web/19990117081725/www.guitarist.com/midis/midis.htm">http://web.archive.org/web/19990117081725/www.guitarist.com/midis/midis.htm</a> >	
		KG Sinclair, Free E-books You Can Download, Copyright 1999, Pages 1-5, < <a href="http://web.archive.org/web/19991128041349/ksinclair.com/ebooks.htm">http://web.archive.org/web/19991128041349/ksinclair.com/ebooks.htm</a> >	
		Jeff Sutherland, Business object design and implementation workshop, ACM SIGPLAN OOPS Messenger, Addendum to the proceedings of the 10 <sup>th</sup> annual conference on Object-oriented programming systems, languages, and applications (Addendum), Oct. 1995, vol. 6, Issue 4.	
		Haim Kilov et al., Semantic integration in complex systems, ACM SIGPLAN OOPS Messenger, Addendum to the proceedings of the 10 <sup>th</sup> annual conference on Object-oriented programming systems, languages, and applications (Addendum), Oct. 1995, vol. 6, Issue 4.	

Examiner Signature	<i>Peter D. Smith</i>	Date Considered	1/6/04
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/489,143
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Sheet 2 of 3				Attorney Docket Number	A8521

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
PJS		US 5,875,446		02-23-1999	Brown, et al.
		US 5,877,445		03-02-1999	Hufford, et al.
		US 5,911,074		06-08-1999	Leprince, et al.
		US 5,913,065		06-15-1999	Faustini
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		US 5,959,627		09-28-1999	Duwaer, et al.
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		US 6,122,641		09-19-2000	Williamson, et al.
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		US 6,173,286		01-09-2001	Guttman, et al.
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
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NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature	<i>Peter J. Smith</i>	Date Considered	1/6/04
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		Number	Kind Code <sup>2</sup> (if known)		
PJS		US 6,178,463		01-23-2001	Houston, et al.
		US 6,199,082		03-06-2001	Ferrel, et al.
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		US 6,289,500		09-11-2001	Baxter, et al.
		US 6,298,354		10-02-2001	Saulpaugh, et al.
		US 6,308,176		10-23-2001	Bagshaw
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		US 6,314,420		11-06-2001	Lang, et al.
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		US 6,347,333		02-12-2002	Eisendrath, et al.
		US 6,411,993		06-25-2002	Reynolds, et al.
		US 6,415,316		07-02-2002	Van Der Meer
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